



8/23/02
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THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2161
Examiner: Unassigned

In re PATENT APPLICATION of

Applicant : Hirobumi SUGIYAMA et al.

Appl. No. : 09/769,449

Filed : January 26, 2001

For : ELECTRONIC SETTLEMENT SYSTEM

Atty. Dkt. : SAT 159

**INFORMATION
DISCLOSURE
STATEMENT**

August 23, 2002

Commissioner of Patents
Washington, D.C. 20231

Sir:

This is an information disclosure statement submitted in compliance with the timing requirements of 37 C.F.R. §1.97(b)(3) i.e., prior to a first Office Action on the merits.

Attached are pages 111 and 112 of a book entitled *Using SET for Secure Electronic Commerce* by Grady N. Drew, and corresponding pages in a corresponding Japanese-language version that were cited in the corresponding Japanese application. Also attached are two copies of Japanese publications and English-language abstracts thereof. The publications are listed on the attached PTO-1449.

Since this Information Disclosure Statement is being filed before the first Office Action, no certification or fee is required, and the requirements of 37 C.F.R. §§1.97 and 1.98 are deemed to be fully met as to all documents submitted. Consideration of the


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submitted documents is respectfully requested.

Should any fee be required, please charge the same to our Deposit Account No. 18-0002 and advise us accordingly.


Respectfully submitted,

August 23, 2002
Date



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FORM PTO-1449 INFORMATION DISCLOSURE STATEMENT				Atty Docket SAT 159		Application No. 09/769,449	
				Applicant Hirobumi SUGIYAMA et al.			
				Filing Date January 26, 2001		Group Unit 2161	
U.S. PATENT DOCUMENTS							
Examiner Initial		Document Number	Date	Name	Class	Sub-Class	Filing Date
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
FOREIGN PATENT DOCUMENTS							
		Document Number	Date	Country	Class	Sub-Class	Translation
	AL	10-105612	04/24/98	JAPAN			Abstract
	AM						
	AN						
	AO						
OTHER (Including Author, Title, Date, Pertinent Pages, etc.)							
	AP	<i>Abstract - Electronic Payment /Debit Card System; Shinobu Hasebe et al.; NETWORK SOLUTION, Oki Electric R & D No. 181, VOL. 66, NO. 2, October 1999.</i>					
	AQ	<i>Using SET for Secure Electronic Commerce; Grady N. Drew; Prentice Hall PTR, Upper Saddle River, New Jersey, U.S.A. 1999, pgs 111-112</i>					
	AR						
Examiner					Date Considered		
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.							